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### Prediction of Surface Ocean pCO<sub>2</sub> from Observations of Salinity, Temperature and Nitrate: the Empirical Model Perspective

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Abstract – This paper evaluates whether a thermodynamic ocean-carbon model can be used to predict the monthly mean global fields of the surface-water partial pressure of CO<sub>2</sub>  $(pCO_{2SEA})$  from sea surface salinity (SSS), temperature (SST), and/or nitrate (NO<sub>3</sub>) concentration using previously published regional total inorganic carbon ( $C_T$ ) and total alkalinity ( $A_T$ ) algorithms. The obtained pCO<sub>2SEA</sub> values and their amplitudes of seasonal variability are in good agreement with multi-year observations undertaken at the sites of the Bermuda Atlantic Timeseries Study (BATS) (31°50'N, 60°10'W) and the Hawaiian Ocean Time-series (HOT) (22°45'N, 158°00'W). By contrast, the empirical models predicted  $C_{T}$  less accurately at the Kyodo western North Pacific Ocean Time-series (KNOT) site (44°N, 155°E) than at the BATS and HOT sites, resulting in greater uncertainties in pCO<sub>2SEA</sub> predictions. Our analysis indicates that the previously published empirical  $C_{T}$  and  $A_{T}$  models provide reasonable predictions of seasonal variations in surface-water pCO<sub>2SEA</sub> within the (sub) tropical oceans based on changes in SSS and SST; however, in high-latitude oceans where ocean biology affects  $C_T$  to a significant degree, improved  $C_{T}$  algorithms are required to capture the full biological effect on C<sub>T</sub> with greater accuracy and in turn improve the accuracy of predictions of  $pCO_{2SEA}$ .

**Key words** – global carbon cycle, pCO<sub>2</sub>, total inorganic carbon, total alkalinity, remote sensing

### 1. Introduction

Varying rates of CO<sub>2</sub> exchange between the atmosphere and ocean are one of the key factors in regulating the rate of increase in atmospheric CO<sub>2</sub> concentrations (*e.g.* Tans *et al.* 1990; Quay *et al.* 1992; Keeling *et al.* 1996; Takahashi *et al.* 1997, 2002; Sabine *et al.* 2004). Our understanding of CO<sub>2</sub> fluxes at the air–sea interface is derived mainly from conventional shipboard measurements of the partial pressure difference in CO<sub>2</sub> ( $\Delta pCO_2 = pCO_{2AIR} - pCO_{2SEA}$ ) between surface-water pCO<sub>2</sub> (pCO<sub>2SEA</sub>) and marine atmospheric pCO<sub>2</sub> (pCO<sub>2AIR</sub>) (*e.g.* Poisson *et al.* 1993; Inoue *et al.* 1995; Takahashi *et al.* 1997, 2002, 2006; Feely *et al.* 1999, 2006; Zeng *et al.* 2002; Metzl *et al.* 2006); however, *in situ* shipboard pCO<sub>2SEA</sub> measurements fall far short of the spatial and temporal resolution required to determine monthly, seasonal, or interannual variability in pCO<sub>2</sub> for the global ocean.

To overcome this lack of *in situ*  $pCO_{2SEA}$  data, many previous studies have deduced  $pCO_{2SEA}$  from changes in sea surface temperature (SST) via algorithms that relate regionally and seasonally variable values of  $pCO_{2SEA}$  to SST (*e.g.* Tans *et al.* 1990; Stephens *et al.* 1995; Landrum *et al.* 1996; Bates *et al.* 1998; Lee *et al.* 1998; Lefèvre and Taylor 2002; Cosca *et al.* 2003; Olsen *et al.* 2003; Park *et al.* 2006). This approach is founded on the assumption that variations in SST capture much of the variability of surface-water  $pCO_{2SEA}$  associated with the influence of thermodynamic, transport, and biological effects.

Surface-water  $pCO_{2SEA}$  values are also predicted from total dissolved inorganic carbon ( $C_T$ ) and total alkalinity ( $A_T$ ) using a thermodynamic ocean-carbon model (*e.g.* Loukos *et al.* 2000; Gruber *et al.* 2002; Dore *et al.* 2003; Bates, 2006; Sarma *et al.* 2006; McNeil *et al.* 2007).

Such studies have documented strong region-specific relationships between marine inorganic CO<sub>2</sub> parameters (*e.g.*  $C_T$  and  $A_T$ ) and hydrographic (*e.g.* salinity and temperature) and/or biological (*e.g.* nutrients or chlorophyll *a*) parameters.

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Of the predictor variables that are directly or indirectly related to variations in  $C_{T}$  and  $A_{T}$ , variations in sea surface salinity (SSS) are known to be the key factor that affects variations in both  $C_{T}$  and  $A_{T}$  concentrations. In addition to the effects of variations in SSS, seasonal changes in the intensity of higher-latitude convective mixing of deep  $C_{T}$  and  $A_{T}$  rich water commonly make a significant contribution to variations in  $C_{T}$  and  $A_{T}$  concentrations. Accordingly, many previous studies have used variations in SST as a proxy for changes in surface-water  $A_{T}$  and  $C_{T}$  related to convective mixing.

An important factor that affects only surface-water  $C_T$  to a significant degree is biological activity. As part of the variation in  $C_T$  in high-latitude regions is attributed to biological activity, the values of  $C_T$  in many previous studies were generally parameterized using both physical (SSS and SST) and biological parameters (nutrients or chlorophyll *a*) (*e.g.* Baker *et al.* 1999; Lee *et al.* 2000a; Ishii *et al.* 2004; Bates 2006; Sarma *et al.* 2006; McNeil *et al.* 2007). The derived region-specific  $C_T$  and  $A_T$  relationships, along with SSS, SST, and/or chlorophyll *a* (or nitrate) concentrations, yield basin-scale  $C_T$  and  $A_T$  fields that in turn yield pCO<sub>2SEA</sub> fields when combined with a reliable thermodynamic model (*e.g.* Loukos *et al.*2000; Ishii *et al.* 2004; Sarma *et al.* 2006).

In the present paper, we evaluate the abilities of the empirical C<sub>T</sub> (Lee et al. 2000a) and A<sub>T</sub> (Lee et al. 2006) models in predicting surface-water pCO<sub>2SEA</sub> by comparing our modeled  $C_{_{T}}\!\!,A_{_{T}}\!\!,$  and  $pCO_{_{2SEA}}\!\!$  values with multi-year observations from three time-series locations, with measurement-based pCO<sub>2SEA</sub> climatology (Takahashi et al. 2002), and with pCO<sub>2SEA</sub> values predicted from changes in SST via regionally and seasonally varying pCO<sub>2SEA</sub>/SST algorithms (Lee et al. 1998; Park et al. 2006). Predicted pCO<sub>2</sub> values using our regional  $C_{T}$  and  $A_{T}$  algorithms are also compared against those derived from other empirical algorithms for the equatorial Pacific (Loukos et al. 2000; Ishii et al. 2004), Indian (Bates et al. 2006), and Southern Oceans (McNeil et al. 2007). Finally, we estimate the degree to which  $pCO_{2SEA}$  values calculated from  $C_{T}$  and  $A_{T}$  via a thermodynamic oceancarbon model are sensitive to seasonal variations in  $C_{T}$  and  $A_{T}$  predicted for different parts of the global ocean.

### 2. Calculation Methods

Monthly mean global  $C_{T}$  and  $A_{T}$  fields on 4° latitude × 5°

longitude grid cells for the reference year 1995 were estimated from 24 equations relating  $NC_{T}$  to SST and  $NO_{3}^{-}$  (Lee *et al.* 2000a) and 5 equations relating  $A_{T}$  to SSS and SST, along with monthly mean SSS (Antonov *et al.* 2006) and  $NO_{3}^{-}$  (Garcia *et al.* 2006) fields from the World Ocean Atlas 2005 (hereafter referred to as WOA05) and monthly mean SST fields for 1995 sourced from the National Centers for Environmental Prediction/Atmospheric Model Intercomparison Project II (NCEP/DOE AMIP-II Reanalysis, hereafter referred to as NCEP, available at http://www.cpc.ncep.noaa. gov/products/wesley/reanalysis.html). Simple two-parameter functions with SST and  $NO_{3}^{-}$  for  $NC_{T}$  and with SSS and SST fit surface  $NC_{T}$  and  $A_{T}$  data within an area-weighted uncertainty of approximately 8 µmol kg<sup>-1</sup> (Lee *et al.* 2000a; 2006).

The resulting NC<sub>T</sub> fields were then converted to C<sub>T</sub> using monthly mean SSS fields from the World Ocean Atlas 2005. The annual cycles of global surface-water C<sub>T</sub> and A<sub>T</sub> for 1995 used in this paper were previously published in Lee *et al.* (2000a) and Lee *et al.* (2006), respectively. The companion fields of pCO<sub>2SEA</sub> fields for 1995 were then constructed from the resulting C<sub>T</sub> and A<sub>T</sub> fields using the carbonic acid dissociation constants of Mehrbach *et al.* (1973) that were refitted in different functional forms by Dickson and Millero (1987).

#### 3. Results and Discussion

## Global distributions of modeled $pCO_{2SEA}$ and seasonal $pCO_{2SEA}$ variability

Surface-water  $pCO_{2SEA}$  values predicted for February and August in the global ocean are shown in Figures 1(a) and 1(b) as examples of the monthly mean distributions. In the eastern equatorial Pacific, high pCO<sub>2SEA</sub> values (yellow to red areas) reflect the upwelling of subsurface water with high pCO<sub>2SEA</sub> values and its subsequent advection from the site of upwelling. Low pCO<sub>2SEA</sub> values (blue areas) are generally found in the Southern Ocean (> 60°S) during the austral summer and in the North Atlantic and North Pacific (>40°N) during the boreal summer; in contrast, relatively high pCO<sub>2SEA</sub> values are found in these regions during winter. In these high-latitude regions,  $C_T$  at the surface increases during seasonal cooling due to the convective mixing of subsurface waters rich in C<sub>T</sub>. In this case, the convective mixing and thermodynamic effects are out of phase, and reductions in pCO<sub>2SEA</sub> associated with cooling are usually



**Fig. 1.** Climatological surface-water  $pCO_{2SEA}$  fields for (a) February and (b) August estimated from the NC<sub>1</sub>/SST/NO<sub>3</sub><sup>-</sup> (Lee *et al.* 2000a) and A<sub>1</sub>/SSS/SST algorithms (Lee *et al.* 2006), as well as monthly mean SST fields for 1995 sourced from the National Centers for Environmental Prediction/Atmospheric Model Intercomparison Project II and SSS (Antonov *et al.* 2006) and NO<sub>3</sub><sup>-</sup> fields (Garcia *et al.* 2006) from the World Ocean Atlas 2005. (c) Distribution of the seasonal amplitude (maximum  $pCO_{2SEA}$  – minimum  $pCO_{2SEA}$ ) of surface-water  $pCO_{2SEA}$ . Positive amplitudes (yellow to red areas) indicate that maximum  $pCO_{2SEA}$  values occur during summer; whereas negative amplitudes (light blue to blue areas) indicate that maximum  $pCO_{2SEA}$  values occur during winter.

overtaken by increases due to vertical mixing. In contrast, a combination of phytoplankton blooms and reduction in convective mixing during the warming period leads to a rapid decrease in  $pCO_{2SEA}$  at high latitudes. The  $pCO_{2SEA}$  values found in temperate and tropical oceans are nearly in equilibrium or slightly undersaturated with respect to atmospheric  $pCO_{2SEA}$  (green areas). In these warm waters, limited photosynthesis and longer periods of exposure of surface water to the atmosphere due to strong stratification collectively act to bring surface  $pCO_{2SEA}$  close to atmospheric

#### values.

The magnitude of predicted seasonal pCO<sub>2SEA</sub> variability largely falls within the range from -200 to  $+150 \ \mu\text{mol kg}^{-1}$ (Figure 1c). Positive amplitudes (yellow to red areas), which indicate that maximum pCO<sub>2SEA</sub> values occur during summer, are generally found in the temperate and tropical oceans where temperature changes account for more than 50% of the seasonal pCO<sub>2</sub> changes (Takahashi *et al.* 2002). In these oceans, seasonal warming leads to an increase in pCO<sub>2SEA</sub>, meaning that summer pCO<sub>2SEA</sub> values are higher than those in winter. Negative amplitudes (blue areas), which indicate that maximum  $pCO_{2SEA}$  values occur during winter, are usually found in high-latitude areas and regions of equatorial upwelling. Negative seasonal amplitudes arise from the biological reduction of  $pCO_{2SEA}$  in summer and the increase of  $pCO_{2SEA}$  in winter associated with convective mixing of subsurface water that is rich in  $pCO_{2SEA}$  (Takahashi *et al.* 2002).

#### Comparison with multi-year time-series observations

The accuracy of the predicted pCO<sub>2SEA</sub> fields and their seasonal variability presented in the preceding section depend on the accuracy of published C<sub>T</sub> (Lee *et al.* 2000a) and A<sub>T</sub> (Lee *et al.* 2006) algorithms in terms of describing seasonal trends. Therefore, in the present paper we assess the abilities of the published C<sub>T</sub> (Lee *et al.* 2000a) and A<sub>T</sub> (Lee *et al.* 2006) algorithms in predicting surface-water pCO<sub>2SEA</sub> by comparing our modeled C<sub>T</sub> A<sub>T</sub>, and pCO<sub>2SEA</sub> values against time-series measurements obtained from the sites of the Bermuda Atlantic Time-series Study (BATS) (31°50'N, 60°10'W), the Hawaiian Ocean Time-series (HOT) (22°45'N, 158°00'W), and the Kyodo western North Pacific Ocean Time-series (KNOT) (44°N, 155°E).

The BATS and HOT sites, which represent subtropical conditions, have near-monthly records of SSS, SST, C<sub>1</sub>, and A<sub>r</sub> for the period 1988-2003 (Bates, 2001, 2002; Gruber et al. 2002; Dore et al. 2003; Keeling et al. 2004), whereas the KNOT site, which represents subarctic conditions, has only seasonal records of SSS, SST,  $C_{T}$ , and  $A_{T}$  for the shorter period of 1998-2000 (Tsurushima et al. 2002). Over the observational periods for the three time-series locations, we first compared measured  $C_{T}$  and  $A_{T}$  values with those predicting the published  $C_{\scriptscriptstyle T}$  and  $A_{\scriptscriptstyle T}$  algorithms that are applicable to these time-series locations in conjunction with SSS and SST data collected from the three time-series locations and then compared pCO<sub>2SEA</sub> values predicted from measurements of  $C_{\scriptscriptstyle T}$  and  $A_{\scriptscriptstyle T}$  with predictions of the same parameters made using the  $C_T$  and  $A_T$  algorithms and the optimal thermodynamic model. In addition, we evaluated the reliability of climatological SSS (WOA05) and SST (NCEP) data in predicting  $C_{T}$ ,  $A_{T}$ , and  $pCO_{2SEA}$  when combined with the published  $C_{T}$  (Lee *et al.* 2000a) and  $A_{T}$ (Lee et al. 2006) algorithms.

Prior to the comparisons with time-series observations, we applied one adjustment factor to the predicted  $C_{T}$  values to account for  $C_{T}$  increases due to the influx of  $CO_{2}$  from the



**Fig. 2.** Comparisons of (a) WOA05 sea surface salinity (SSS) and (b) NCEP sea surface temperature (SST), and modeled  $C_{\tau}$ ,  $A_{\tau}$  and pCO<sub>2SEA</sub> values with observations undertaken at the Bermuda Atlantic Time-series (BATS) site (31°50'N, 64°10'W).  $C_{\tau}$  and  $A_{\tau}$  values were predicted using in situ SSS and SST values (solid lines, This study) and using WOA05 SSS and NCEP SST values (dotted lines, This study). WOA05 SSS and NCEP SST values are averages of four values from the four grid boxes (4° latitude × 5° longitude) surrounding the BATS site. Means and standard deviations (1σ) of the differences between measured values and those calculated are shown. Calculated pCO<sub>2SEA</sub> values using pCO<sub>2</sub>-SST algorithms of Park *et al.* (2006) along with in situ SST data and their deviations from observations are presented in (e). atmosphere. This adjustment was applied to the  $C_{T}$  values predicted for the BATS and HOT where surface  $C_{T}$  increases with a rate similar to the atmospheric  $CO_{2}$  increase; however, it was not applied to the  $C_{T}$  values predicted for the KNOT site where outcropping of deep isopycnal surfaces dilutes the small signals of anthropogenic  $CO_{2}$  component throughout the entire water column.

At the BATS and HOT sites, the empirical  $C_{T}$  models predict seasonal and interannual trends within  $\pm 7$  to  $\pm 9$  $\mu$ mol kg<sup>1</sup> for C<sub>T</sub> without any significant biases and within  $\pm 5$  to  $\pm 7 \mu$ mol kg<sup>-1</sup> for A<sub>r</sub> with the overestimations of 4 to 12  $\mu$ mol kg<sup>+</sup> (close to fit uncertainties) (Figure 2 and 3). Overall, the magnitudes of the differences between measurements and predictions are comparable to the uncertainties of the derived  $C_{T}$  (Lee *et al.* 2000a) and  $A_{T}$  relationships (Lee et al. 2006). This indicates that in situ SSS and SST are reliable proxies for variations in surface-water  $C_{\tau}$  and  $A_{\tau}$  in (sub)tropical oceans, with the exception of the equatorial upwelling Pacific. A further implication of this good agreement is that the effects of phytoplankton activity on  $C_{T}$  in these warm waters are relatively weak due to low concentrations of nutrients throughout the year; however, there is an exception to this generalization. At the BATS site, deep wintertime mixing of nutrients supports a springtime phytoplankton bloom, implying that phytoplankton activity becomes an important factor in determining springtime surface  $C_T$  and  $A_T$  in the tropical and subtropical North Atlantic (Bates, 2001); its effect on  $C_{\tau}$  is more pronounced than that on  $A_{r}$ . As one can predict from the good agreement between the measured and predicted  $C_{T}$ and  $A_{\!\scriptscriptstyle T}$  trends, the  $pCO_{_{2SEA}}$  values predicted from the  $C_{\!\scriptscriptstyle T}$  and  $A_{r}$  algorithms using the thermodynamic ocean-carbon model are in good agreement with measured values; the mean  $pCO_{_{2SEA}}$  differences are  $-12.3\pm12.6~\mu atm$  at BATS and  $-0.6 \pm 14.9$  µatm at HOT. The overestimation at the BATS site is due to  $A_{T}$  overestimation.

In the western subarctic North Pacific (KNOT), the  $A_{T}$  model accurately predicts seasonal and interannual trends whereas the  $C_{T}$  model predicts less accurately; the mean differences between measurements and predictions are  $-24.0 \pm 24.9 \ \mu mol \ kg^{-1}$  for  $C_{T}$  and  $-4.5 \pm 8.9 \ \mu mol \ kg^{-1}$  for  $A_{T}$ . The resulting pCO<sub>2SEA</sub> predicted from these  $C_{T}$  and  $A_{T}$  algorithms using the thermodynamic model reasonably predicts seasonal variations, but differs from measurements by  $-45.9 \pm 33.1 \ \mu atm$ , with larger discrepancies recorded for the springtime and wintertime comparisons for 1999



**Fig. 3.** Comparisons of (a) WOA05 sea surface salinity (SSS) and (b) NCEP sea surface temperature (SST), and modeled C<sub>τ</sub>, A<sub>τ</sub> and pCO<sub>2SEA</sub> values with observations undertaken at the Hawaiian Ocean Time-series (HOT) site (22°45'N, 158°00'W). C<sub>τ</sub> and A<sub>τ</sub> values were predicted using in situ SSS and SST values (solid lines, This study) and using WOA05 SSS and NCEP SST values (dotted lines, This study). WOA05 SSS and NCEP SST values were averages of values from 4 grid boxes (4° latitude × 5° longitude) surrounding the HOT site. Means and standard deviations (1σ) of the differences between measured values and those calculated are shown. Calculated pCO<sub>2SEA</sub> values using pCO<sub>2</sub>-SST algorithms of Park *et al.* (2006) along with in situ SST data and their deviations from observations are presented in (e).



**Fig. 4.** Comparisons of (a) WOA05 sea surface salinity (SSS) and (b) NCEP sea surface temperature (SST), and modeled C<sub>τ</sub>, A<sub>τ</sub> and pCO<sub>2SEA</sub> values with observations undertaken at the at the Kyodo western North Pacific Ocean Timeseries (KNOT) site (44°N, 155°E). C<sub>τ</sub> and A<sub>τ</sub> values were predicted using in situ SSS and SST values (solid lines, This study) and using WOA05 SSS and NCEP SST values (dotted lines, This study). WOA05 SSS and NCEP SST values were averages of values from 4 grid boxes (4° latitude × 5° longitude) surrounding the KNOT site. Means and standard deviations (1σ) of the differences between measured values and those calculated are shown. Calculated pCO<sub>2SEA</sub> values using pCO<sub>2</sub>-SST algorithms of Park *et al.* (2006) along with in situ SST data and their deviations from observations are presented in (e).

(Figure 4).

In addition, when the empirical  $C_{T}$  and  $A_{T}$  algorithms were combined with climatological SSS, SST, and NO<sub>3</sub>, we found good agreement between the predicted and measured values at BATS, but we found poor agreement at HOT. At all thee locations, the NCEP SST data accurately represent interannual variations in SST. Therefore, the poor agreement at the HOT site is largely due to the inaccurate representation of interannual and seasonal variations in SSS. Although climatological SSS data were found to underestimate  $C_{\tau}$  and  $A_{\tau}$  values at the HOT site, the calculated pCO<sub>2SEA</sub> values are in good agreement with measured values to within  $\pm 15$  µatm, with no systematic biases. Such good agreement at BAT is fortuitous. The overestimation of  $C_{T}$  would lead to  $pCO_{2SEA}$  overestimation whereas  $A_T$  overestimation leads to pCO<sub>2SEA</sub> underestimation. As a result, they compensate each other. At the KNOT site, we only tested the accuracy of climatological data by comparing A<sub>r</sub> predictions against measurements, because the C<sub>r</sub> algorithms applicable to this site resulted in a mean bias of -24.0 µmol kg<sup>-1</sup>. Our analysis indicates that climatological data less accurately predict A<sub>T</sub> values than do in situ measurements; however, they capture seasonal  $A_{T}$  trends.

Overall, the empirical models predict  $C_{T}$  less accurately at the KNOT site than at the BATS and HOT sites, suggesting that our  $C_{T}$  prediction models, which are applicable to the western subarctic North Pacific, may not account for the full extent of biological effects on  $C_{T}$  variations, thereby limiting the accuracy of pCO<sub>2SEA</sub> predictions. Sarma *et al.* (2006) also showed that the "chlorophyll *a*" rather than "NO<sub>3</sub>" is probably more adequate to account for the biological effect on  $C_{T}$ , giving rise to smaller random errors.

## Comparison with the modeled $pCO_{2SEA}$ data using the method Park *et al.* (2006)

For the periods over which direct measurements are available from the BATS, HOT, and KNOT sites, we compared our modeled pCO<sub>2SEA</sub> with those predicted independently from SST variations via seasonal pCO<sub>2SEA</sub>/SST relationships derived for 4° latitude × 5° longitude pixels, including the time-series locations (Park *et al.* 2006) (Figures 2c-4c). In contrast, for the equatorial upwelling Pacific (10°N-10°S, 75°W-160°W), net annual CO<sub>2</sub> efflux estimates obtained using direct observations are subject to large uncertainties because they were derived from biannual observations from 1992 to 1998; therefore, we only compared those efflux



**Fig. 5.** Comparisons of (a)  $C_{T}$  and (b)  $pCO_{2SEA}$  observations with those modeled using published  $C_{T}$  (Lee *et al.* 2000a) and  $A_{T}$  algorithms (Lee *et al.* 2006), using  $C_{T}$  and  $A_{T}$  algorithm of Ishii *et al.* (2004), and using  $C_{T}$  algorithms of Loukos *et al.* (2000) and  $A_{T}$  algorithms of Lee *et al.* (2006) for the equatorial Pacific (10°N-10°S) along 110°W.

estimates modeled using the present approach with the pCO<sub>2SEA</sub>/SST relationships (Cosca *et al.* 2003; Park *et al.* 2006) derived from the biannual observations (Figure 5).

Overall, the comparison of two independently modeled values with time-series measurements at the BATS, HOT, equatorial upwelling Pacific, and KNOT sites indicates that regardless of location, the empirical  $C_T/A_T$ -based approach appears to predict surface-water pCO<sub>2SEA</sub> variations as accurately as the pCO<sub>2SEA</sub>/SST-based approach (Figures 2c-4c, 5). More precisely, the pCO<sub>2SEA</sub>/SST-based approach yields predictions of surface-water pCO<sub>2SEA</sub> variations at the HOT site that are less accurate than those of the empirical  $C_T/A_T$ -based approach; the opposite is true at the KNOT site. Overall, both methods predicted surface pCO<sub>2SEA</sub> values less accurately in high-latitude regions (*e.g.* the BATS and HOT sites). These limited comparisons of observations with predicted pCO<sub>2</sub> values

obtained using the two empirical methods make it difficult to determine the superior empirical method.

## Comparison with $pCO_{2SEA}$ predictions using other published algorithms

To further validate the accuracy of  $pCO_{2SEA}$  predictions using  $C_{T}$  (Lee *et al.* 2000a) and  $A_{T}$  (Lee *et al.* 2006) algorithms, we compared our predictions of  $pCO_{2SEA}$  with those modeled using other published algorithms for the Equatorial Pacific (Loukos *et al.* 2000; Ishii *et al.* 2004), the Indian Ocean (Bates *et al.* 2006), and the Southern Ocean (McNeill *et al.* 2007).

For the eastern equatorial Pacific along the line 110°W, all three  $C_{T}$  algorithms reasonably captured  $C_{T}$  variations measured in 1995 (Figure 5); however, they underestimated  $C_{T}$  values to some extent near the equator (5°N-5°S). As a result, predictions of seawater pCO<sub>2SEA</sub> for this line using three algorithms were correspondingly underestimated. Although our algorithms predicted pCO<sub>2SEA</sub> more accurately, the differences in pCO<sub>2SEA</sub> predictions are not statistically significant.

For the Indian Ocean, our modeled pCO<sub>2SEA</sub> fields were on a basin-wide average -23 to -40 µatm consistently less than those predicted using  $C_T$  and  $A_T$  algorithms of Bates *et* al. (2006) when the same SST (NCEP), and SSS and nitrate fields were used (Figure 6). The underestimation is largely due to inaccurate representation of the  $C_{T}$  fields produced by Lee et al. (2000a). According to the analysis of Bates et al. (2006), four separate  $C_{T}$  algorithms for two monsoon and two inter-monsoon periods yielded the smallest interpolation errors in predicting the  $C_{T}$  fields for the respective monsoon and inter-monsoon seasons; the use of a single algorithm in our study yielded prediction errors considerably greater than the use of the four seasonal algorithms. The fact that the use of four algorithms resulted in the smallest errors indicates that the relative importance of the controlling factors for seawater  $C_{T}$  (and pCO<sub>2SEA</sub>) differs by seasons.

For waters south of 40°S in the Southern Ocean, regardless of seasons, our algorithms predicted  $pCO_{2SEA}$  fields on an average of  $20 \pm 10 \mu$ atm higher than those predicted from the C<sub>T</sub> and A<sub>T</sub> algorithms derived by McNeill *et al.* (2007) (Figure 7). Such systematic difference is likely to be due to inaccuracy in our C<sub>T</sub> algorithm for the Southern Ocean. This inaccuracy is largely caused by two factors. One is the number of C<sub>T</sub> data used to derive Lee *et al.*'s algorithm,



**Fig. 6.** Comparison of our modeled pCO<sub>2</sub> fields for (a) winter (December to February), (b) spring (March to May), (c) summer (June to August), and (d) fall (September to November) with those obtained using  $C_{\tau}$  and  $A_{\tau}$  algorithms of Bates *et al.* (2006). Positive values indicate our modeled values higher than Bates *et al.*'s estimations whereas negative values indicate the opposite case.



**Fig. 7.** Comparison of our modeled  $pCO_2$  fields for (a) summer (June to August) and (b) winter (December to February) with those obtained suing  $C_{\tau}$  and  $A_{\tau}$  algorithms of McNeil *et al.* (2007). Positive values indicate our modeled values higher than McNeil *et al.*'s estimations whereas negative values indicate the opposite case.

which are about two-thirds of data points used in deriving McNeill *et al.*'s algorithm. Another is the difference in the choice of predictable parameters, which account for changes in  $C_T$  due to biological activity. McNeill *et al.*'s analysis indicated that the parameter "nitrate only" cannot fully capture  $C_T$  changes due to biology in the Southern Ocean. In

their analysis, therefore, they used the parameters "oxygen" and "silicate".

### Comparison with measurement-based $pCO_{2SEA}$ fields (Takahashi *et al.* 2002)

The modeled surface-water  $pCO_{_{2SEA}}$  maps for 1995 are



Fig. 8. Comparison of model predictions with measurement-derived pCO<sub>2SEA</sub> fields (Takahashi *et al.* 2002) for seasonal amplitudes of  $pCO_{2SEA}$  variability. Positive values indicate modeled amplitudes are overestimated, whereas negative values indicate underestimates.

compared with corresponding maps compiled from 940,000 shipboard measurements of pCO<sub>2SEA</sub> taken over the past 40 years (Takahashi et al. 2002). In compiling the  $pCO_{2SEA}$  maps for 1995 using the  $C_T$  algorithms derived from data normalized to the year 1990, our empirical  $C_{T}$ models did not account for increases in  $C_{T}$  due to oceanic uptake of anthropogenic CO<sub>2</sub> over a 5-year period between 1990 and 1995. Therefore, we compared the magnitudes of modeled seasonal  $pCO_{2SEA}$  variability with those of measurementderived values (Takahashi et al. 2002) (Figure 8). Positive values indicate that our modeled seasonal  $\text{pCO}_{\scriptscriptstyle 2SEA}$  variations are greater than observed variations. Seasonal amplitudes of pCO<sub>2SEA</sub> calculated in this way are in broad agreement with those calculated from the monthly mean pCO<sub>2SEA</sub> maps of Takahashi et al. (2002), with a mean difference in the seasonal amplitude of approximately  $\pm 40 \mu$ atm (yellow to light blue areas in Figure 6). Our estimates capture many of the key features that are present in Takahashi et al.'s map of seasonal pCO<sub>2SEA</sub> variability, although the degree of concordance between the two global maps varies regionally. The largest differences are found in the North Pacific (>45°N), where two contrasting trends are observed in the differences in the seasonal pCO<sub>2SEA</sub> amplitudes. In the northern North Pacific  $(>45^{\circ}N)$ , the modeled seasonal amplitudes of pCO<sub>2SEA</sub> were underestimated by 100 to 150 µatm compared to observed values. In contrast, in the temperate central North Pacific (30°N-45°N) the predicted seasonal amplitudes are close to or slightly lower than the observed amplitudes. The observed seasonal trends for the northern North Pacific are probably robust, as the measurements have a dense coverage for all

seasons (Takahashi *et al.* 2002). Hence, our modeled seasonal amplitudes are most likely to be underestimates in the northern North Pacific (>45°N).

### Estimation of errors in predicted pCO<sub>2SEA</sub>

The systematic errors in estimated pCO<sub>2SEA</sub> values are largely due to possible biases in climatological data of SSS, SST and NO<sub>3</sub> (obtained from WOA05 and NCEP) and in the selected thermodynamic model. Of these factors, uncertainties in SSS make the largest contribution to the overall systematic errors in  $C_T$ ,  $A_T$ , and  $pCO_{2SEA}$  predictions. In particular, the analysis of data at the three time-series locations indicate that the lack of interannual variations in SSS led to errors in predicted  $C_T$ ,  $A_T$ , and  $pCO_{2SEA}$  values that are comparable or greater than fit errors in  $C_{T}$  and  $A_{T}$ equations. Another less significant contributor is uncertainty in the carbonic acid dissociation constants. This set of thermodynamic constants has proved to be the most consistent with laboratory (Lee et al. 1996; Lueker et al. 2000; Mojica Prieto and Millero, 2002; Millero et al. 2006) and field (Lee et al. 1997; Wanninkhof et al. 1999; Lee et al. 2000b; Millero et al. 2002) measurements of carbon parameters over oceanic ranges of temperature and salinity. Therefore, the uncertainty in the thermodynamic model leads to biases in calculated carbon parameters that are smaller than mean fit errors in  $C_{T}$  and  $A_{T}$  equations.

The random errors associated with the estimated values of  $pCO_{2SEA}$  mostly come from uncertainties in the published  $C_T$  and  $A_T$  algorithms. The uncertainties (approximately ±8  $\mu$ mol kg<sup>-1</sup>) in the published  $C_T$  and  $A_T$  algorithms taken from



Fig. 9. (a) Distribution of the seasonal amplitudes (maximum –minimum) of surface-water  $C_{TP}$   $A_{TP}$  and  $pCO_{2SEA}$ . Positive amplitudes (yellow to red areas) indicate that maximum  $pCO_{2SEA}$  values occur during summer; whereas negative amplitudes (light blue to blue areas) indicate that maximum  $pCO_{2SEA}$  values occur during winter.(c) Percentage errors (%) of ±5% in calculated  $pCO_{2SEA}$  (errors associated with the  $C_{T}$  and  $A_{T}$  algorithms) relative to the magnitudes of seasonal  $pCO_{2SEA}$  variability.

Lee *et al.* (2000a) and Lee *et al.* (2006) result in an overall uncertainty in pCO<sub>2SEA</sub> of approximately  $\pm 5\%$ .

# Effects of predicted seasonal variations in $A_{_T}$ and $C_{_T}$ on calculations of $pCO_{_{2SEA}}$

Predictions of seasonal changes in surface-water  $pCO_{_{2SEA}}$  values made from  $C_{_{T}}$  and  $A_{_{T}}$  via a thermodynamic model

are sensitive to seasonal changes in  $C_T (\Delta C_T)$  and  $A_T (\Delta A_T)$ . In the (sub)tropical ocean, except for the equatorial upwelling Pacific, both  $C_T$  and  $A_T$  change to a similar degree and in the same direction (yellow area in Figures 9a and 9b). In this case, a seasonal increase in  $A_T$  acts to lower surface-water pCO<sub>2SEA</sub>, whereas an increase in  $C_T$  acts to increase pCO<sub>2SEA</sub>. The net effect is a suppression of the variability in predicted values of pCO<sub>2SEA</sub> (yellow area in Figure 1c), as the effects of these two competing factors approximately cancel each other out. As a result, an estimated error of  $\pm 5\%$  in predicted pCO<sub>2SEA</sub> associated with errors in the C<sub>T</sub> and A<sub>T</sub> algorithms generally contributes to 50-100% of the seasonal amplitudes of pCO<sub>2SEA</sub> found in these regions (yellow to red areas in Figure 9c). In particular, the error in pCO<sub>2SEA</sub> can be substantial in the western equatorial Pacific and the central equatorial Atlantic where measured magnitudes of pCO<sub>2SEA</sub> error. In these regions, direct pCO<sub>2SEA</sub> measurements are strongly recommended.

Contrary to the prevailing trend in  $\Delta C_T$  and  $\Delta A_T$  for the (sub)tropics, in the equatorial upwelling area the magnitudes of  $\Delta C_T$  are much greater than those of  $\Delta A_T$ , and  $C_T$  and  $A_T$  occasionally vary in opposite directions. The net effect of variations in  $C_T$  and  $A_T$  on predictions of pCO<sub>2SEA</sub> is an increase in the variability of predicted pCO<sub>2SEA</sub> (blue or orange to red areas in Figure 1c), as the effect of  $\Delta A_T$  on pCO<sub>2SEA</sub> is relatively small; consequently, the estimated pCO<sub>2SEA</sub> error of ±5% accounts for less than 20% of the total variability of pCO<sub>2SEA</sub> found in this region (blue area in Figure 9c).

In most high-latitude regions, the magnitudes of seasonal  $\Delta C_{\tau}$  (blue to purple areas in Figure 9a) are significantly greater than those of seasonal  $\Delta A_{T}$ ; hence, the effect of  $\Delta C_{T}$ on pCO<sub>2SEA</sub> is dominant. There are two major trends in  $\Delta C_{T}$ within high-latitude oceans. The first trend is more general and thus found across large areas of high-latitude oceans (blue to purple area in Figure 9a), particularly in the North Pacific and North Atlantic. In these areas, the magnitudes of seasonal  $\Delta A_{T}$  are generally one-fifth of those of  $\Delta C_{T}$ . Hence, the effect of  $\Delta C_{T}$  on  $\Delta pCO_{2SEA}$  is significantly greater than that of  $\Delta A_{T}$  on pCO<sub>2SEA</sub>. As with the case in the equatorial upwelling area, changes in C<sub>T</sub> make a dominant contribution to seasonal changes in  $pCO_{2SEA}$  in these high-latitude regions. In this case, the estimated  $pCO_{2SEA}$  error of ±5% is considerably smaller in magnitude than the total seasonal pCO<sub>2SEA</sub> variability (blue area in Figure 9c).

The second trend is found in the Southern Ocean. For waters at 30°S-60°S, the magnitudes of  $\Delta C_{\tau}$  are only onefifth of those found in the North Pacific and North Atlantic; in contrast,  $\Delta A_{\tau}$  in these contrasting regions are similar in magnitude. The resulting smaller magnitudes of seasonal variability in  $C_{\tau}$  act to depress the degree of seasonal variability in pCO<sub>2SEA</sub> in the Southern Ocean; this effect is enhanced by locally opposite trends in  $\Delta C_{T}$  and  $\Delta A_{T}$ . As a result, the magnitudes of seasonal variability in pCO<sub>2SEA</sub> in the Southern Ocean are minor compared to those observed in the northwestern North Atlantic and North Pacific (see Figure 1c). In this case, the magnitudes of the errors in predicted pCO<sub>2SEA</sub> are comparable to those of seasonal variability in pCO<sub>2SEA</sub>; thus, substantial errors are found in the Southern Ocean (yellow to red areas in Figure 9c). Although the smaller magnitudes of seasonal pCO<sub>2SEA</sub> variability for the Southern Ocean inferred from our empirical model are broadly consistent with those found in Takahashi *et al.*'s map, a firm conclusion can only be drawn once additional pCO<sub>2SEA</sub> measurements become available in the future.

#### 4. Conclusion

The principal benefit of using the empirical model described in the present study is the interpolation or extrapolation of surface-water  $C_T$  and  $A_T$ , which in turn leads to predictions of surface-water pCO<sub>2SEA</sub>. The broad concordance between modeled values and those observed at the time-series locations suggests that the published  $C_T$  and  $A_T$  algorithms, when combined with SSS, SST, and nitrate concentration data, are able to predict the corresponding global fields and thereby pCO<sub>2SEA</sub> fields using the thermodynamic model. Within the (sub)tropical oceans (i.e. 30°N-30°S), seasonal variations in  $C_{T}$  and  $A_{T}$  collectively act to suppress variations in surface-water pCO<sub>2SEA</sub>. In contrast, the effect of seasonal variations in  $C_{T}$  on pCO<sub>2SEA</sub> within the equatorial upwelling Pacific and high-latitude oceans (i.e. north of ~30°N and south of ~30°S) is generally strong, as the counteracting effect of seasonal variations in  $A_T$  on pCO<sub>2SEA</sub> is relatively small.

The results presented in this paper provide guidelines for the prediction of surface-water pCO<sub>2SEA</sub> using the C<sub>T</sub> and A<sub>T</sub> empirical models. Because the real-time observations of SSS, SST, and biological parameters using various tools including Argo float or satellite are increasing (*e.g.* Kilpatrick *et al.* 2001; Koblinsky *et al.* 2003; Gabarró *et al.* 2004; Gould *et al.* 2004), those observations will provide a potentially powerful tool in constraining monthly to interannual variability in the oceanic uptake of CO<sub>2</sub> when they are jointly used with empirical algorithms that relate C<sub>T</sub> to SSS, SST, and nitrate (or other biological parameters) concentration and that relate  $A_{\!\scriptscriptstyle T}$  to SSS and SST.

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